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St. Vincent's Medical Center Public Forum

Independent Monitor Discussion



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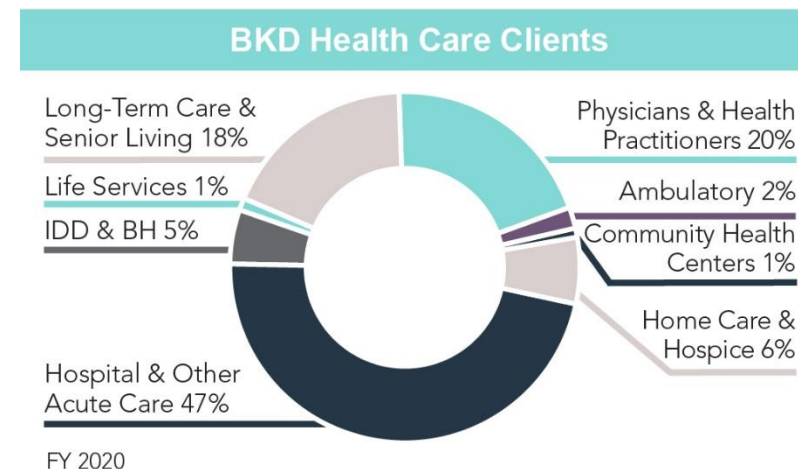
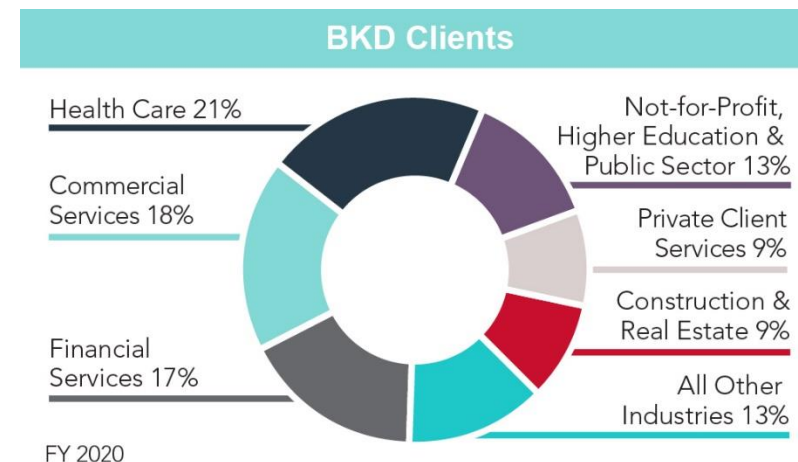
Agenda

- BKD, LLP Profile
- BKD's Approach to Serving as the Independent Monitor
- Role & Duties of the Independent Monitor
- Conditions Relevant to Review
- Independent Monitor Findings
- Notable Changes Since Last Independent Monitor Report
- Next Steps
- Questions

BKD, LLP Profile

- **Clients** – Private & publicly-traded companies, government entities, not-for-profit organizations & individuals
- **Total Personnel** – Approximately 2,900
- **Partners & Principals** – Approximately 300
- **Founded** – 1923
- **Locations** – 40 offices in 18 states
- **International** – Delivered through Praxity, AISBL*, a global alliance of independent firms in approximately 100 countries

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BKD's Approach to the Independent Monitor Role

Objective Monitoring & Facilitation of Support Approved Objectives



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Role of the Independent Monitor



- Responsible for monitoring Hartford HealthCare (HHC) & St. Vincent's Medical Center Holdings' (SVMC Holdings) compliance with the Conditions set forth in the Agreed Settlement Order



- Reports to Office of Health Strategy (OHS)
- Retained at sole expense of HHC/SVMC Holdings



- Engaged for a minimum period of three (3) years
 - May be extended at OHS's discretion

Duties of the Independent Monitor

- Conduct on-site or virtual visits of HHC/SVMC Holdings on a quarterly basis
- Submit written report to OHS within 30 days of each quarterly visit
- Interview Community Representative during each quarterly review period
- Hold Public Forum within 60 days of each quarterly visit
- Notify OHS & HHC/SVMC Holdings of any instances of non-compliance with the Conditions set forth in the Order & oversee development & implementation of corrective action plan



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Conditions Relevant to Review (4th Quarter 2020)

Condition	Brief Description
1	Appoint Community Representative to Board of Directors & notify OHS
2	HHC/SVMC Holdings to Hold Community Meetings twice per year
3	Adhere to current charity care & indigent care policies
4	Notification to OHS of significant changes to charity/indigent care policies
9	Independent Monitor sole expense of HHC/SVMC Holdings
10	Independent Monitor on-site visits & quarterly reporting
11	Independent Monitor to hold Public Forum
12	Compliance with Price Constraints
13	OHS All Payer Claims Database data review
14	Alternative Payment Model and Advanced Alternative Payment Model
15	Medicare Shared Savings Program
16	Annual Filing Demonstrating Compliance with Conditions 12, 14, and 15
17	Strategic Plan
19	Annual Filing – Strategic Plan Achievements
20	Quality Performance
21	Negative Changes in Rates or Scores
22	Contract with Connecticut Health Information Exchange Entity
25	Agreed Settlement represents final agreement between OHS & HHC/SVMC Holdings
26	OHS enforcement of Agreed Settlement
27	Agreed Settlement binding upon HHC/SVMC Holdings

Independent Monitor Findings (4th Quarter 2020)

Condition	Compliance with Conditions in Agreed Settlement?
1	Yes
2	Yes
3	Yes
4	Yes
9	Yes
10	Yes
11	Yes
12	Yes
13	No reporting by HHC/SVMC Holdings required for this Condition
14	Yes
15	Yes
16	Yes
17	Yes
19	Yes
20	Yes
21	Yes
22	Condition waived by OHS
25	No reporting by HHC/SVMC Holdings required for this Condition
26	No reporting by HHC/SVMC Holdings required for this Condition
27	No reporting by HHC/SVMC Holdings required for this Condition

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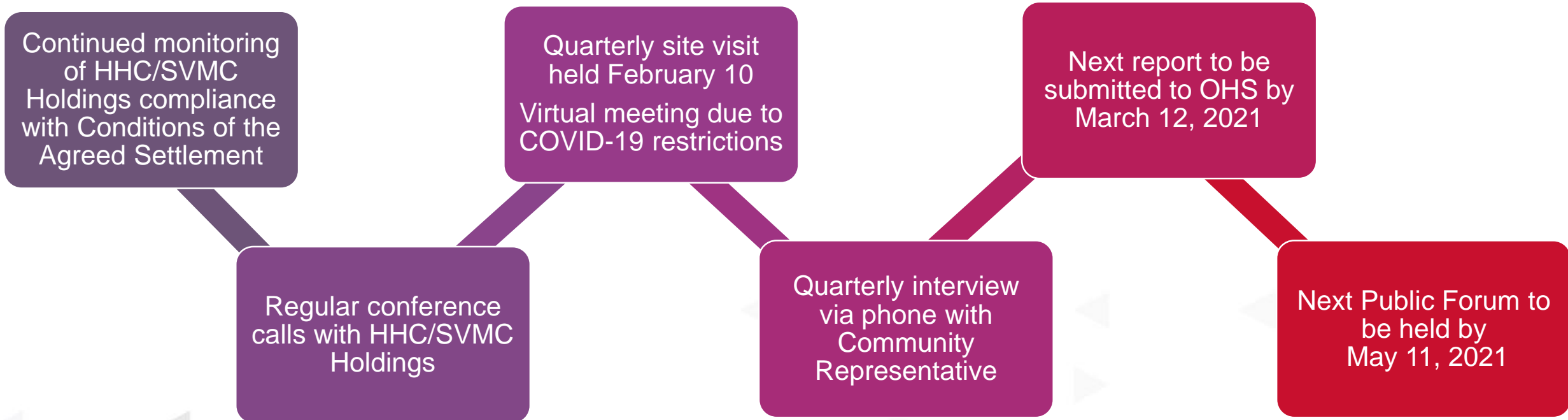
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Notable Changes Since Last Independent Monitor Report

- SVMC transitioned to the HHC charity care and indigent care policies effective December 1, 2020
 - OHS was notified of the change on November 15, 2020, as required
- Strategic Plan was submitted to OHS on September 29, 2020
- The first Annual Filing document was submitted to OHS on November 30, 2020



Next Steps



Questions?

Thank You!

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